

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Ronald P. Dean et al.

Confirmation Number:

Application No.: Unknown

Examiner: Unknown

Filing Date: 09/18/03

Group Art Unit:

Title: METHOD AND APPARATUS FOR SECURING A FAN WITHIN A DEVICE

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97(c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL 982235733 US

Date of Deposit 09/18/03

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: Carla Deblaw

Respectfully submitted,

Ronald P. Dean et al.

By

Ralph A. Graham

Attorney/Agent for Applicant(s)

Reg. No. 47,607

Date: 09/18/03

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	<b>200208300-1</b>		
	APPLICANT <b>Ronald P. Dean et al.</b>		
	FILING DATE	GROUP	
	<b>09/18/03</b>		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	Des. 297,455	08/30/88	Goldfarb et al.	
	1B	4,356,531	10/26/82	Marino et al.	
	1C	4,728,160	03/01/88	Mondor et al.	
	1D	4,739,445	04/19/88	Tragen	
	1E	4,750,860	06/14/88	Kelley	
	1F	5,208,730	05/04/93	Tracy	
	1G	5,613,906	03/25/97	Kikinis	
	1H	5,680,295	10/21/97	Le et al.	
	1I	5,717,570	02/10/98	Kikinis	
	1J	5,831,525	11/03/98	Harvey	
	1K	5,936,836	08/10/99	Scholder	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>200208300-1</b>	APPLICATION NO.	CONFIRMATION NO.
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	FILING DATE	GROUP	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	5,949,646	09/07/99	Lee et al.	
	2B	6,058,009	05/02/00	Hood, III et al.	
	2C	6,069,792	05/30/00	Nelik	
	2D	6,094,345	07/25/00	Diemunsch	
	2E	6,101,459	08/08/00	Tavallaei et al.	
	2F	6,118,655	09/12/00	Mecredy, III et al.	
	2G	6,129,528	10/10/00	Bradbury et al.	
	2H	6,176,299 B1	01/23/01	Hanzlik et al.	
	2I	6,215,659 B1	04/10/01	Chen	
	2J	6,288,898 B1	09/11/01	Johnson et al.	
	2K	6,304,443 B1	10/16/01	Chou	

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	2L					
	2M					
	2N					
	2O					
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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
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(Use several sheets if necessary)

ATTY. DOCKET NO.

200208300-1

APPLICATION NO.

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R nald P. Dean et al.

FILING DATE

GROUP

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	3A	6,311,767 B1	11/06/01	Inoue et al.	
	3B	6,313,988 B1	11/06/01	Pham	
	3C	6,351,380 B1	02/26/02	Curlee et al.	
	3D	6,373,698 B1	04/16/02	Christensen	
	3E	6,377,455 B1	04/23/02	Nelik	
	3F	6,459,575 B1	10/01/02	Esterberg	
	3G	6,567,267 B1	05/20/03	Wang	
	3H	20030011985	01/16/03	Jensen et al.	
	3I				
	3J				
	3K				

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	3Q	
	3R	
	3S	
EXAMINER		DATE CONSIDERED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Ronald P. Dean et al.

Serial No.: Unknown

Filed: Herewith

For: METHOD AND APPARATUS FOR  
SECURING A FAN WITHIN A  
DEVICE

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§ Group Art Unit: Unknown  
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§  
§ Examiner: Unknown  
§  
§  
§ Atty Docket: NUHP:0152  
§ 200208300-1  
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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

EXPRESS MAIL MAILING LABEL	
NUMBER:	EI 982 235 733 US
DATE OF DEPOSIT:	September 18, 2003
<i>Pursuant to 37 C.F.R. § 1.10, I hereby certify that I am personally depositing this paper or fee with the U.S. Postal Service, "Express Mail Post Office to Addressee" service on the date indicated above in a sealed envelope (a) having the above-numbered Express Mail label and sufficient postage affixed, and (b) addressed to the Commissioner for Patents, Alexandria, VA 22313.</i>	
<i>September 18, 2003</i> Date	<i>Carla Deblaw</i> Carla Deblaw

Dear Sirs:

**ADDENDUM TO INFORMATION DISCLOSURE STATEMENT**

The attached "Information Disclosure Statement" indicates that Applicant has submitted copies of patents, publications, and other information. However, the Patent Office has recently notified applicants that:

The Office hereby waives the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003.

Accordingly, Applicant has not submitted copies of any U.S. Patents or any U.S. Patent Application Publications cited on the attached form PTO-1449.

Respectfully submitted,

Date: September 18, 2003

A handwritten signature in black ink, appearing to read 'Ralph A. Graham', written over a horizontal line.

Ralph A. Graham  
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**CORRESPONDENCE ADDRESS**  
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